



Application No. (if known): 10/518,148

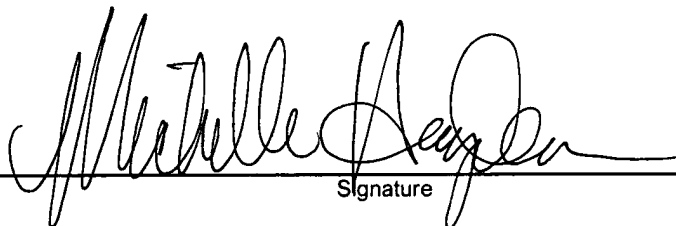
Attorney Docket No.: 08228/068001

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV841964479US in an envelope addressed to:

MS Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on March 8, 2006
Date



Signature

Michelle Hayden

Typed or printed name of person signing Certificate

Registration Number, if applicable

(713) 228-8600
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal (1 page)
Fee Transmittal (1 page)
Part B - Fee(s) Transmittal (1 page)
Statement Claiming Small Entity Status (2 pages)
Transmittal of Drawing Correction (2 pages)
Drawing(s) (12 Figs., 10 Sheets)
Payment by credit card. Form PTO-2038 is attached (1 page)
Charge \$709.00 to credit card



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

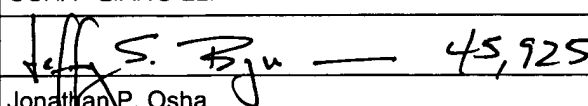
(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/518,148-Conf. #7920
	Filing Date	December 17, 2004
	First Named Inventor	Hisao Sato
	Art Unit	2814
	Examiner Name	B. Kunzer
Total Number of Pages in This Submission	Attorney Docket Number	08228/068001

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Part B - Fee(s) Transmittal Change in Small Entity Status Submission of Drawings Return Receipt Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	OSHA · LIANG LLP		
Signature			
Printed name	Jonathan P. Osha		
Date	March 8, 2006	Reg. No.	33,986



PTO/SB/17 (01-06)

Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2006☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

(\$) 709.00

Complete if Known

Application Number	10/518,148-Conf. #7920
Filing Date	December 17, 2004
First Named Inventor	Hisao Sato
Examiner Name	B. Kunzer
Art Unit	2814
Attorney Docket No.	08228/068001

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 50-0591 Deposit Account Name: Osha · Liang LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims Extra Claims Fee (\$) Fee Paid (\$)

20 - 20 = x =

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)

1 - 3 = x =

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent Claims

Fee (\$) Fee Paid (\$)

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)

_____ - 100 = _____ / 50 _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): 2501 Utility issue fee 700.00

8001 Printed copy of patent w/o color 9.00

SUBMITTED BY

Signature	<i>Jonathan R. Osha</i>	Registration No. (Attorney/Agent)	33,986	Telephone	(713) 228-8600
Name (Print/Type)	Jonathan R. Osha	Date	March 8, 2006		



Docket No.: 08228/068001
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Hisao Sato et al.

Allowed: December 30, 2005

Application No.: 10/518,148

Confirmation No.: 7920

Filed: December 17, 2004

Art Unit: 2814

For: GALLIUM-NITRIDE-BASED LIGHT-
EMITTING APPARATUS

Examiner: B. Kunzer

STATEMENT CLAIMING SMALL ENTITY STATUS –

SMALL BUSINESS CONCERN UNDER 37 CFR 1.27

MS Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The Applicant submits the following:

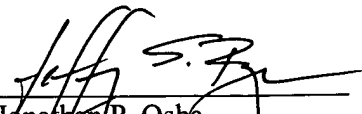
1. The present application is that of four inventors, Hisao Sato, Naoki Wada, Shiro Sakai and Masahiro Kimura.
2. The inventors have assigned their entire right, title, and interest for the United States in and to this application to Nitride Semiconductors Co., Ltd. A copy of the executed assignment is attached.

Nitride Semiconductors Co., Ltd. is a small business entitled to claim small entity status.

Applicant believes no fee is due with this declaration. However, if a fee is due, please charge our Deposit Account No. 50-0591, under Order No. 08228/068001 from which the undersigned is authorized to draw.

Dated: March 8, 2006

Respectfully submitted,

By 
Jonathan P. Osha
Registration No. 33,986
OSHA LIANG LLP
1221 McKinney St., Suite 2800
Houston, Texas 77010
(713) 228-8600
(713) 228-8778 (Fax)



Docket No.: 08228/068001
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Hisao Sato et al.

Application No.: 10/518,148

Allowed: December 30, 2005

Filed: December 17, 2004

Art Unit: 2814

For: GALLIUM-NITRIDE-BASED LIGHT-
EMITTING APPARATUS

Examiner: B. Kunzer

TRANSMITTAL OF DRAWING CORRECTION

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith is one set (ten sheets, twelve figures) of corrected drawings for filing in the above-identified patent application.

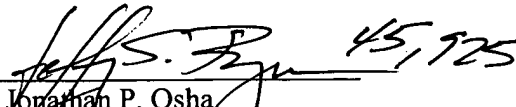
The Examiner objected to the drawings for reasons stated in the Office Action (Paper No. 20051220) dated December 30, 2005. Specifically, in Figures 9a, 9b, 10a, and 10b the legend "Prior Art" should appear. The enclosed new drawings correct these informalities. Kindly substitute the enclosed drawings for the drawings submitted on December 14, 2004.

Favorable consideration of the enclosed drawings is respectfully requested.

Applicant believes no fee is due with this response. However, if a fee is due, please charge our Deposit Account No. 50-0591, under Order No. 08228/068001 from which the undersigned is authorized to draw.

Dated: March 8, 2006

Respectfully submitted,

By  45,925
Jonathan P. Osha
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